

09-11-06

Docket No. 9955/D01/Y01/AGS/LP

IFW 1764
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Mark Holst, Kent Carpenter, Scott Lane and Prakash V. Arya
Title: EFFLUENT GAS STREAM TREATMENT SYSTEM HAVING UTILITY FOR OXIDATION
TREATMENT OF SEMICONDUCTOR MANUFACTURING EFFLUENT GASES
Serial No.: 09/970,613
Filed: October 4, 2001
Examiner: Thanh P. Duong
Group Art Unit: 1764

Transmitted herewith is:

- ☒ PTO Form 1449;
☒ Information Disclosure Statement with two cited references; and
☒ Return Postcard.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00)				\$1000.00	\$0.00
TOTAL FEES					\$0.00

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

Customer # 41161
Dugan & Dugan, PC
55 South Broadway
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV605116545US

Date of Deposit: 9/8/06

Signature: [Signature]

Respectfully submitted,

[Signature]

Brian M. Dugan
Registration No. 41,720
(914) 332-9081



Express Mail Label No. EV605116545US

PATENTS
9955/D01/Y01/AGS/LP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Mark Holst et al.
Serial No. : 09/970,613
Filed : October 4, 2001
For : EFFLUENT GAS STREAM TREATMENT SYSTEM HAVING
UTILITY FOR OXIDATION TREATMENT OF
SEMICONDUCTOR MANUFACTURING EFFLUENT GASES
Group Art Unit : 1764
Customer No. : 41161
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

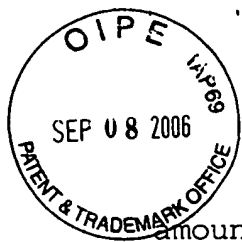
In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

Foreign Art Reference No. WO 98/29181 A (WIPO)

Foreign Art Reference No. EP 0360941 A2 (EPO)

09/12/2006 HVUONG1 00000018 041696 09970613

01 FC:1806 180.00 DA



Please charge deposit account No. 04-1696 in the amount of \$180.00 for consideration of this information disclosure statement under 37 C.F.R. § 1.97(c)(2).

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan".

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated:

9/8/06
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

9955/D01/Y01/AGS/LP

Serial No.:

09/970,613

LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Mark Holst et al.

Filing Date:

October 4, 2001

Group:

1764

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1						
	US-2						
	US-3						
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	WO 98/29181 A	07/09/98	WIPO			X	
	F-2	EP 0360941 A2	04/04/90	EPO			X	
	F-3							
	F-4							
	F-5							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	
	OT-2	
	OT-3	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.